

# HAWAII STATE ETHICS COMMISSION

## DISCLOSURE OF FINANCIAL INTERESTS (LONG FORM)

<b>NAME (Last, First, Middle)</b> LIU, Theodore Em-Po	<b>STATE POSITION HELD: (Dept/Div or Board/Commission)</b> Director  <b>TERM OF OFFICE (Begin/End):</b> 01/09/03 / 12/04/06
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**FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.**  
 USE THE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

### ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR

List the source (the term "source" also includes any state or other government agencies) and amount of all income of \$1,000 or more received during the preceding calendar year, for services rendered, and the nature of the services rendered.

F,SP,DC,JT	NAME AND ADDRESS OF SOURCE OF INCOME	AMOUNT	SERVICES RENDERED
F	Department of Business, Economic Development & Tourism	F	Employee
<div style="display: flex; justify-content: space-between;"> <span>[ ] Check here if entry is None</span> <span>[ ] Check here if additional sheets are attached</span> </div>			

### ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business in or outside of the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business.

F,SP,DC,JT	BUSINESS NAME AND ADDRESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
F	Asia-Pacific Environmental Technologies 1088 Bishop Street, Suite 1008 Honolulu, Hawaii 96813	environmental services	shares	F
F	Morgan Stanley 1585 Broadway New York, New York 10036	securities	shares	K
<div style="display: flex; justify-content: space-between;"> <span>[ ] Check here if entry is None</span> <span><input checked="" type="checkbox"/> Check here if additional sheets are attached</span> </div>				

**ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F,SP, DC,JT	OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER
	Morgan Stanley stock	various

☐ Check here if entry is None☐ Check here if additional sheets are attached**ITEM 4: CREDITORS**

List the name of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding. Exclude debts from retail installment transactions for the purchase of consumer goods.

F,SP, DC,JT	NAME OF CREDITOR	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING
F	Bank of Hawaii Waialae-Kahala Branch 4634 Kilauea Avenue Honolulu, Hawaii 96816	J	G

☐ Check here if entry is None☐ Check here if additional sheets are attached**ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS**

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

F,SP, DC,JT	NAME AND ADDRESS OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION

☒ Check here if entry is None☐ Check here if additional sheets are attached

**ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State held during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS	TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	VALUE
F	Oriental Plaza, Building No. 3 Units 18C & 18D 9 Zhenning Road Shanghai, 200005, China	N/A	J

☐ Check here if entry is None ☐ Check here if additional sheets are attached

**ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State acquired during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION
JT	1-2-3-038-002 Ala Moana Hotel Units 2021 & 2022 410 Atkinson Drive Honolulu, Hawaii 96814	H	Ala Moana Development LLC

☐ Check here if entry is None ☐ Check here if additional sheets are attached

**ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State transferred during the disclosure period, if the interest has a value of \$10,000 or more. Real property that was your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION

☒ Check here if entry is None ☐ Check here if additional sheets are attached

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

**ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES**  
List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

**CERTIFICATION:** I hereby certify that the above is a true, correct, and complete statement to the best of my knowledge and belief. If I have a spouse and/or dependent children, I also hereby certify that I have included their interests on this form to the best of my knowledge and belief. I understand that it is a violation of State law, chapter 84, HRS, if information is not disclosed as required by chapter 84, HRS. I further understand that there are statutory penalties for noncompliance.

SIGNATURE

DATE \_\_\_\_\_

**HAWAII STATE ETHICS COMMISSISON**  
**DISCLOSURE OF FINANCIAL INTERESTS (LONG FORM)**  
**ATTACHMENT**  
**Theodore Em-Po Liu**  
**May 17, 2006**

**ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

<b>F, SP, DC, JT</b>	<b>BUSINESS NAME AND ADDRESS</b>	<b>NATURE OF BUSINESS</b>	<b>NATURE OF INTEREST</b>	<b>VALUE OR NO. OF SHARES</b>
F	PacifiCap Group LLC 1132 Bishop Street, Suite 1810 Honolulu, Hawaii 96813	Venture capital/private equity management company	Managing Director— Profit sharing	E
F	PTV GP, LLC 1132 Bishop Street, Suite 1810 Honolulu, Hawaii 96813	General partner of venture capital/private equity fund	LLC membership units	N.A.
F	PacifiCap Targeted Ventures, LP 1132 Bishop Street, Suite 1810 Honolulu, Hawaii 96813	Venture capital/private equity fund	Carried profits interests (held by PTV GP, LLC)	N.A.
F	PacifiCap Investment Partners II, LLC 1132 Bishop Street, Suite 1810 Honolulu, Hawaii 96813	Venture capital/private equity fund	Carried profits interests (held by PTV GP, LLC)	N.A.
F	PacifiCap 4Charity Investment, LLC 1132 Bishop Street, Suite 1810 Honolulu, Hawaii 96813	Investment entity	LLC units	F
F	4Charity.com, Inc. 345 Queen Street, Suite 602 Honolulu, Hawaii 96813	Philanthropic & enterprise software	Beneficial ownership of carried profits interest on investment	N.A.
F	Pihana Pacific, Inc. 1100 Alakea Street Honolulu, Hawaii 96813	Carrier-neutral data center	Beneficial ownership of carried profits interest on investment	N.A.
F	Iris Wireless, LLC 55 Merchant Street Honolulu, Hawaii 96813	Telecommunications software	Beneficial ownership of carried profits interest on investment	N.A.
F	Inovaware Corporation 55 Merchant Street Honolulu, Hawaii 96813	Billing/customer care software	Beneficial ownership of carried profits interest on investment	N.A.
F	MSDW Capital Partners IV		Capital gains	H
F	Morgan Stanley Real Estate Fund – Domestic		Capital gains	G
F	Morgan Stanley Real Estate Fund – International		Capital gains	G
F	Smith Barney/Citigroup Mutual Funds		Dividend/capital gains	K